

# Nameless Formalization of HOcore in Coq

## Initial Bachelor Seminar Talk

Lukas Convent

*Advisor: Tobias Tebbi*

*Supervisor: Prof. Dr. Gert Smolka*



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# Nameless Formalization of HOcore in Coq

- **HOcore is a process calculus**
  - Modelling concurrent systems
  - *others*: CCS,  $\pi$ -Calculus
- ... **with binders**
  - Processes can receive and deliver values
  - e.g.  $\bar{a}\langle R \rangle \parallel a(x).P \xrightarrow{\tau} \emptyset \parallel P\{R/x\}$
- We aim at **nameless formalization** (De Bruijn indices) of HOcore and some proofs about it in Coq

# What is special about HOcore?

## CCS

- **No value passing**, only synchronization
- $start!.P \parallel start?.Q \xrightarrow{\tau} P \parallel Q$

## $\pi$ -Calculus

- **Channels** passed as values, Turing-complete
- $\overline{chgCh}\langle n \rangle.P \parallel chgCh(x).\bar{x}\langle msg \rangle.Q \xrightarrow{\tau} P \parallel \bar{n}\langle msg \rangle.Q$

## HOcore (Higher-Order)

- **Processes** passed as values, Turing complete
- $\overline{exe2}\langle P \rangle \parallel exe2(x).(x \parallel x) \xrightarrow{\tau} \emptyset \parallel (P \parallel P)$

## Previous work



Lanese, Pérez, Sangiorgi, Schmitt: **On the Expressiveness and Decidability of Higher-Order Process Calculi.**

*Proceedings of LICS'08*



Maksimovi, Schmitt: **HOCore in Coq.**

*Interactive Theorem Proving, Vol. 9236, 2015*

# HOcore Processes

## Example

$$\overline{\text{exe2}}\langle P \rangle \parallel \text{exe2}(x).(x \parallel x) \xrightarrow{\tau} \emptyset \parallel (P \parallel P)$$

$$\overline{\text{exe2}}\langle P \rangle \parallel \text{exe2}.(0 \parallel 0) \xrightarrow{\tau} \emptyset \parallel (P \parallel P)$$

## De Bruijn

$P, Q ::= \bar{a}\langle P \rangle$	$\bar{a}\langle P \rangle$	Output process
$a(x).P$	$a.P$	Input prefixed process
$x$	$x \in \mathbb{N}$	Process variable
$P \parallel Q$	$P \parallel Q$	Parallel composition
$\emptyset$	$\emptyset$	Empty process

- After transmission: Terminate ( $\emptyset$ -process)
- All channels are global

# HOcore transitions (1)

## De Bruijn

$$\frac{}{\bar{a}\langle P \rangle \xrightarrow{\bar{a}\langle P \rangle} \emptyset}$$

OUT

$$\frac{}{\bar{a}\langle P \rangle \xrightarrow{\bar{a}\langle P \rangle} \emptyset}$$

$$\frac{}{a(x).P \xrightarrow{a} \lambda x.P}$$

IN

$$\frac{}{a.P \xrightarrow{a} P}$$

$$\frac{P \xrightarrow{\bar{a}\langle R \rangle} P' \quad Q \xrightarrow{a} \lambda x.Q'}{P \parallel Q \xrightarrow{\tau} P' \parallel Q'\{R/x\}}$$

SYNL

$$\frac{P \xrightarrow{\bar{a}\langle R \rangle} P' \quad Q \xrightarrow{a} Q'}{P \parallel Q \xrightarrow{\tau} P' \parallel Q'[R :: id]}$$

## Example

$$\frac{\frac{}{\overline{\text{exe2}}\langle P \rangle \xrightarrow{\overline{\text{exe2}}\langle P \rangle} \emptyset} \text{OUT} \quad \frac{}{\text{exe2}.(0 \parallel 0) \xrightarrow{\text{exe2}} 0 \parallel 0} \text{IN}}{\overline{\text{exe2}}\langle P \rangle \parallel \text{exe2}.(0 \parallel 0) \xrightarrow{\tau} \emptyset \parallel (P \parallel P)} \text{SYNL}$$

## HOcore transitions (2)

### De Bruijn

$$\text{PARTAU L} \quad \frac{P \xrightarrow{\tau} P}{P \parallel Q \xrightarrow{\tau} P' \parallel Q}$$

$$\text{PAROUTL} \quad \frac{P \xrightarrow{\bar{a}\langle R \rangle} P'}{P \parallel Q \xrightarrow{\bar{a}\langle R \rangle} P' \parallel Q}$$

$$\frac{P \xrightarrow{a} \lambda x. P' \quad x \notin \text{fv}(Q)}{P \parallel Q \xrightarrow{a} \lambda x. (P' \parallel Q)}$$

$$\text{PARINL} \quad \frac{P \xrightarrow{a} P'}{P \parallel Q \xrightarrow{a} P' \parallel Q[\uparrow]}$$

# Bisimulation & Bisimilarity

## Bisimulation in CCS

$$\text{Bisimulation } \mathcal{R} :\Leftrightarrow \begin{array}{ccc} P & \xrightarrow{\mathcal{R}} & Q \\ \downarrow a & & \downarrow \alpha \\ P' & \xrightarrow{\mathcal{R}} & Q' \end{array} \quad \wedge \quad \begin{array}{ccc} P & \xrightarrow{\mathcal{R}} & Q \\ \downarrow \alpha & & \downarrow a \\ P' & \xrightarrow{\mathcal{R}} & Q' \end{array}$$

## Bisimilarity in CCS

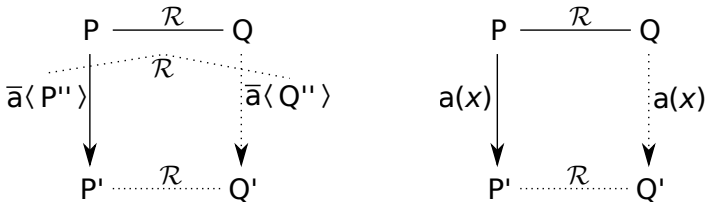
$$P \sim Q :\Leftrightarrow \exists \text{ Bisimulation } \mathcal{R}. (P, Q) \in \mathcal{R}$$

- In CCS, bisimulation demands identical actions  
 $\Rightarrow$  But for HOcore we want:  $\bar{a}\langle P \parallel Q \rangle.\emptyset \sim \bar{a}\langle Q \parallel P \rangle.\emptyset$
- There are several options for a definition of bisimilarity
- A straightforward one is **IO-Bisimilarity**



## IO Bisimilarity

$\mathcal{R}$  is an **IO Bisimulation** if the following properties hold:



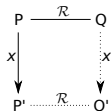
Does this suffice? No, it is not yet a **congruence**:

*We want:*  $0 \approx 3$

*because:*  $\bar{a}\langle P \rangle \parallel a.0 \approx \bar{a}\langle P \rangle \parallel a.3$

Use **Variable Bisimilarity**:

$$\text{REM} \frac{}{x \xrightarrow{x} \emptyset} \quad \text{PARREML} \frac{P \xrightarrow{x} P'}{P \parallel Q \xrightarrow{x} P' \parallel Q}$$



# Inductive vs. Coinductive Definitions (1)

$$\frac{}{nil \in S} \quad \frac{x \in \mathbb{N} \quad xs \in S}{x :: xs \in S}$$

## Rule functional

$$\mathcal{F}(S) = \{nil\} \cup \{x :: xs \mid x \in \mathbb{N} \wedge xs \in S\}$$

**Finite Lists**  $L_{fin} =$   
**Least fixed-point** of  $\mathcal{F}$

Least set which is closed under the rules:

$$\begin{aligned} L_{fin} &= \{nil\} \cup \{x :: xs \mid x \in \mathbb{N} \wedge xs \in L_{fin}\} \\ &= \mathcal{F}(L_{fin}) \end{aligned}$$

**Finite and Infinite Lists**  $L_{\omega} =$   
**Greatest fixed-point** of  $\mathcal{F}$

Largest set which is closed under the rules:

$$\begin{aligned} L_{\omega} &= \{nil\} \cup \{x :: xs \mid x \in \mathbb{N} \wedge xs \in L_{\omega}\} \\ &= \mathcal{F}(L_{\omega}) \end{aligned}$$

**Which** fixed-point?

{finite lists over  $\mathbb{N}$ }

...

{finite and infinite lists over  $\mathbb{N}$ }

## Inductive vs. Coinductive Definitions (2)

$$\frac{}{nil \in S} \quad \frac{x \in \mathbb{N} \quad xs \in S}{x :: xs \in S}$$

### Rule functional

$$\mathcal{F}(S) = \{nil\} \cup \{x :: xs \mid x \in \mathbb{N} \wedge xs \in S\}$$

**Finite Lists**  $L_{fin} =$   
**Least fixed-point** of  $\mathcal{F}$

**Finite and Infinite Lists**  $L_{\omega} =$   
**Greatest fixed-point** of  $\mathcal{F}$

**Inductive** Definition by  $\mathcal{F}$

**Coinductive** Definition by  $\mathcal{F}$

### Fixed-Point Theorem of Knaster-Tarski

If  $\mathcal{F}$  is **monotone**,

**Least** fixed-point of  $\mathcal{F}$

$$= \cap \{T \mid \mathcal{F}(T) \subseteq T\}$$

$$= \cap \{\text{Pre-fixed-points}\}$$

**Greatest** fixed-point of  $\mathcal{F}$

$$= \cup \{T \mid T \subseteq \mathcal{F}(T)\}$$

$$= \cup \{\text{Post-fixed-points}\}$$

# Formalizing Bisimilarity

## Rule Functional of Bisimilarity $\sim$

$$\mathcal{F}(\mathcal{B}) = \{(P, Q) \mid \forall P'. P \rightarrow P' \Rightarrow \forall Q'. Q \rightarrow Q' \Rightarrow \exists Q'. Q \rightarrow Q' \wedge P' \in \mathcal{B} \wedge \exists P'. P \rightarrow P' \wedge P' \in \mathcal{B} \wedge Q'\}$$

$\sim$

$\sim =$  Greatest fixed-point of  $\mathcal{F}$

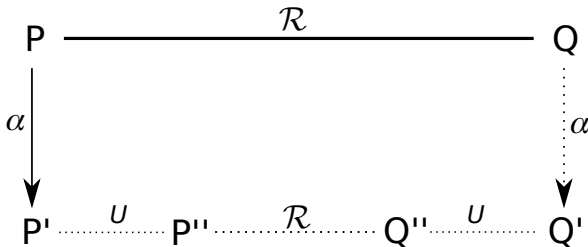
$$= \cup \{ \mathcal{B} \mid \mathcal{B} \subseteq \mathcal{F}(\mathcal{B}) \} = \cup \{ \text{Bisimulations } \mathcal{B} \}$$

## Proof technique for $\sim$

If  $\mathcal{B} \subseteq \mathcal{F}(\mathcal{B})$  and  $(P, Q) \in \mathcal{B}$   
then  $(P, Q) \in \sim$

## Bisimulation-up-to Relations

$\mathcal{R}$  is a **bisimulation-up-to-U** if:



### Sound up-to relation $U$ for $\mathcal{F}$

$U$  is a sound up-to relation for  $\mathcal{F}$  if :

For any  $\mathcal{R} \subseteq \mathcal{F}(U \circ \mathcal{R} \circ U) = \mathcal{F}(\mathcal{R}^U)$

we have  $\mathcal{R} \subseteq \sim$

## Bisimulation-up-to-Bisimilarity

$U$  is a sound up-to relation for  $\mathcal{F}$  if:

For any  $\mathcal{R} \subseteq \mathcal{F}(U \circ \mathcal{R} \circ U)$  we have  $\mathcal{R} \subseteq \sim$

$\sim$  is a sound up-to relation for  $\sim$

Let  $\mathcal{R}$  be a **bisimulation-up-to- $\sim$**  rel.:  $\mathcal{R} \subseteq \mathcal{F}(\sim \circ \mathcal{R} \circ \sim)$





$$\begin{aligned} \mathcal{R} &\subseteq \mathcal{R}^{\sim} && \sim \text{ is reflexive} \\ &\subseteq \sim \circ \mathcal{F}(\mathcal{R}^{\sim}) \circ \sim && \text{Assumption} \\ &= \sim \circ \mathcal{F}(\sim \circ \mathcal{R} \circ \sim) \circ \sim && \text{Def. up-to} \\ &= \mathcal{F}(\sim) \circ \mathcal{F}(\sim \circ \mathcal{R} \circ \sim) \circ \mathcal{F}(\sim) && \sim \text{ is FP of } \mathcal{F} \\ &\subseteq \mathcal{F}(\sim \circ \sim \circ \mathcal{R} \circ \sim \circ \sim) \\ & && \mathcal{F}(A) \circ \mathcal{F}(B) \subseteq \mathcal{F}(A \circ B) \\ &= \mathcal{F}(\sim \circ \mathcal{R} \circ \sim) && \sim \text{ is transitive} \\ &= \mathcal{F}(\mathcal{R}^{\sim}) \subseteq \sim \end{aligned}$$

## Conclusion & Outlook

- *De Bruijn* indices allow an easy method to get around  $\alpha$  renaming
- Regarding bisimilarity as a greatest fixed-point of a functional makes general proofs about bisimilarity possible
- Next steps:
  - Relating (different?) bisimilarities to each other
  - How can proofs be done in a compositional way in Coq? (Paco Library)
  - Other properties of bisimilarities (decidability)

Thank you!

## References

-  Lanese, Pérez, Sangiorgi, Schmitt: **On the Expressiveness and Decidability of Higher-Order Process Calculi.**  
*Proceedings of LICS'08*
-  Pérez: <http://www.cs.unibo.it/~perez/talks/coplas.pdf>
-  Maksimovi, Schmitt: **HOCore in Coq.**  
*Interactive Theorem Proving, Vol. 9236, 2015*
-  Sangiorgi: Presentation on **Bisimulation and Coinduction:**  
<http://www.fing.edu.uy/inco/eventos/SEFM2011/cursos/Davide.pdf>