# Interactive Theorem Proving: An Intro to the Coq Proof Assistant

Presented by Lukas Convent and Prof. Dr. Martin Leucker as part of the *dependable software* course as taught at ISP in Lübeck in 2019.

#### Learning Goals

- Programming: Inductive Data Types and Recursive Functions
- Specifying: Encode Logical Formulas as Types
- Proving: Prove Logical Formulas about Programs

#### Outline

- 1. Introduction
- 2. Coq Programming
- 3. Propositions as Types

# Introduction

## Functional and Imperative Programs

#### Definition (Imperative Program)

An **imperative** program p describes a partial function on memory states: Given some initial state  $\sigma$ , the **execution** of p on  $\sigma$  either terminates with a final state  $\sigma'$  or it diverges. For example, the program  $\mathbf{x} := 2 \star \mathbf{x}$  maps state  $\sigma = \{x \mapsto 1, \_ \mapsto 0\}$  to state  $\sigma' = \{x \mapsto 2, \_ \mapsto 0\}$ 

#### Definition (Functional Program)

A **functional** program f describes a partial function on values: Given some input value v, the **reduction** of the expression f(v)either terminates in a value v' or it diverges. For example, the program f(x) := 2 \* x with 1 given as a value, resulting in the expression f(1), reduces to value 2

# **Program Verification**

- We focus on verifying functional programs
- We do not limit ourselves though:
  - Imperative programs can be expressed as functional programs
  - The typical framework to prove properties about imperative programs is the Hoare calculus, which can be easily expressed in out framework

• Our framework is a functional language that allows to:

- Write useful programs
- Write specifications for these programs
- Prove these specifications
- Next: Recap on what it means to prove a logical statement (such as a specification)

# Start simple: Propositional Logic

- Syntax
  - $\blacktriangleright \ \ \text{Formulas} \ \varphi, \psi := p \in AP \ | \ \bot \ | \ \varphi \to \psi$
  - Atomic propositions AP
  - (further connectives  $\neg, \land, \lor, ...$  can be used as notation)

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  - Atomic propositions AP
  - ▶ (further connectives ¬, ∧, ∨, ... can be used as notation)
- Semantics
  - Truth domain  $T := \{0, 1\}$
  - Interpretations  $v \in AP \rightarrow T$
  - Evaluation function

$$\begin{split} \llbracket p \rrbracket_v &:= v(p) \\ \llbracket \bot \rrbracket_v &:= 0 \\ \llbracket \varphi \to \psi \rrbracket_v &:= \begin{cases} 1 & \text{if } \llbracket \varphi \rrbracket_v = 0 \text{ or } \llbracket \psi \rrbracket_v = 1 \\ 0 & \text{otherwise} \end{cases}$$

# Why proving?

► Goal: Given  $\varphi$ , does  $\vDash \varphi$  hold?

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Help:

- Use a proof system
- Idea: Construct a finite proof that φ holds
- Proof system must be *sound*: If  $\varphi$  can be proven  $(\vdash \varphi)$ , then  $\varphi$  is valid  $(\models \varphi)$
- Proof system may be complete: If φ is valid (⊨ φ), then φ can be proven (⊢ φ)

# Proof System for Propositional Logic

- ▶ Natural deduction via entailment relation  $\Gamma \vdash \varphi$ 
  - $\Gamma$  is a finite set of formulas  $\psi_1, \psi_2, ..., \psi_n$
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- Defined by inference rules:

$$\begin{split} \varphi \in \Gamma \xrightarrow{\Gamma \vdash \varphi} \text{Assump} & \frac{\Gamma, (\varphi \to \bot) \vdash \bot}{\Gamma \vdash \varphi} \text{ DoubleNeg} \\ \\ \frac{\Gamma, \psi \vdash \varphi}{\Gamma \vdash \psi \to \varphi} \text{ ImpIntro} & \frac{\Gamma \vdash \psi \to \varphi \quad \Gamma \vdash \psi}{\Gamma \vdash \varphi} \text{ ImpElime} \end{split}$$

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**P** ( ) ) )

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▶ By soundness of  $\vdash$ ,  $\varphi$  is valid

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Terms

- **1**. 3 + 2
- 2. if true then true else false
- **3**. λ*n*.*n*
- 4.  $\lambda n. (\lambda b. \text{ if } b \text{ then } n \text{ else } n+n)$
- 5.  $\lambda n$ . let sq = n \* n in sq \* sq

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- **1**. Int
- 2. Bool
- $\textbf{3.} \ \textit{Int} \rightarrow \textit{Int}$
- 4.  $Int \rightarrow (Bool \rightarrow Int)$
- 5.  $Int \rightarrow Int$

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- 5.  $Int \rightarrow Int$
- We write  $\vdash t : T$  if term t has type T
- We can also talk of "soundness" here: A type system is sound if ⊢ t : T implies that t won't "crash" on execution. E.g., true + 4 crashes

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- In a type system, the inference rules are designed s.t. for every pair + t: T, there exists at most one proof tree
- t itself witnesses its own proof tree of  $\vdash t: T$
- Intuition: A term itself represents a syntax tree. Put this tree upside down. Traverse the tree, thereby annotating types according to the inference rules. If this works out, you have **the** proof tree. Otherwise, there is none.

# Preview: Type System as a Proof System ► You noticed the similarity between the two proof trees?

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- It is possible. It has been discovered in 1980 by Howard (Curry-Howard-Correspondence)

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- Is it be possible to encode a proof tree for a logic as a proof tree for a type system?
- It is possible. It has been discovered in 1980 by Howard (Curry-Howard-Correspondence)
- What do we need?
  - 1. Goal: Find a way of proving a specification  $\varphi$
  - 2. We encode  $\varphi$  as a type T
  - 3. We find a term t that is well-typed, i.e.  $\vdash t:T$
  - 4. But this means that t witnesses a proof tree for T
  - 5. Thus we interpret t as a proof of T and therefore of  $\varphi$ !

#### Interactive Theorem Provers

- Proofs are manually written, potentially with some automatic proof-search aid
- Proofs are completely formal
- Proofs can be automatically checked
- You have to trust in the soundness of the proof checker
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- Examples: Coq, Isabelle, Agda
- May be based on type theory, but not necessarily
- Applications
  - 1. Formalized Mathematics, e.g. Four-color theorem in 1976
  - 2. Correctness Properties
    - Certified C compiler CompCert, started in 2005
    - Soundness of type systems
    - Correctness of protocols
    - Further theorems about formalisms
  - 3. Generally: Verification where the system model or the property is "too complex" for automatic methods

# Coq Programming

# Coq

- Coq is an interactive theorem prover
- Main idea: Propositions as Types, Proofs as Terms (Curry-Howard-Correspondence)
- One can define
  - Types (Propositions)
  - Well-typed Terms (Proofs)
- The underlying language Gallina
  - is a dependently-typed functional programming language
  - implements the Calculus of Inductive Constructions
  - is not Turing-complete (every function is total)



## Getting started with Coq

- 1. Installation
  - Win/Mac: Download from https://coq.inria.fr/
  - Linux: We recommend installation via OPAM https://cog.inria.fr/opam/www/using.html
- 2. IDE
  - Recommendation: Coq IDE, shipped with Coq (see screenshot)
  - Popular plugin for Emacs: Proof General

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Coq Programming (Inductive Data Types)

An inductive data type definition introduces a new type and new well-typed terms

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Inductive bool : Type :=
| true : bool
| false : bool.
Inductive nat : Type :=
| 0 : nat
| S : nat → nat.
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bool, nat are types

true, false, O, S are value constructors

### Coq Programming (Definitions)

A Definition gives a name to a term

**Definition** two: nat  $\coloneqq$  S(S O). **Definition** three: nat  $\coloneqq$  S(S(S O)).

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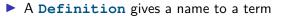
**Definition** two: nat  $\coloneqq$  S(S O). **Definition** three: nat  $\coloneqq$  S(S(S O)).

- Definitions can be unfolded, which is a kind of reduction
- Two terms are *convertible*  $(\equiv)$  if they reduce to the same term

E.g., S two and three are convertible

 $S two \equiv S(S(S O)) \equiv three$ 

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 $S two \equiv S(S(S O)) \equiv three$ 

Intuition: Convertibility is "syntactic equality up-to certain manipulations"

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We can define functions that use pattern matching

▶ fun  $x \Rightarrow ...$  introduces a function (anonymous, " $\lambda$ ")

match ... with | ... end pattern-matches

 Both constructs introduce a form of reduction and thus of convertibility

```
negb true

\equiv (fun x \Rightarrow ...) true

\equiv match true with | true \Rightarrow false | ...

\equiv false
```

Coq Programming (Short Notation for Functions)

Recall our function

We can use the following short notation

# Coq Programming (Type-Checking)

In Coq, every term must be well-typed

What does that mean?

- We write  $\Gamma \vdash t : T$  and call it a *(typing) judgement*
- "Under context  $\Gamma$ , term t has type T"
- Context  $\Gamma$  is a list of items t:T

E.g., we have  $x : bool \vdash negb \ x : bool$ 

...but **not**  $x : nat \vdash negb \ x : bool$ 

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- Coq can try to find a type T for Γ, t (a.k.a. type inference, generally undecidable)
- Coq *decides* for a given judgement whether it holds (a.k.a. type-checking)

Coq Programming (Type-Checking, Reducing in Coq)



Type-infer terms and compute (reduce) terms

Check (negb true). ~> negb true: bool Compute (negb true). ~> false: bool

 $\blacktriangleright$  Here, the context  $\Gamma$  is considered by Cog but not explicitly output

### Coq Programming (Recursive Functions)

We can define recursive functions

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Fixpoint plus (m n: nat) : nat ≔
match m with
  | 0 ⇒ n
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end.
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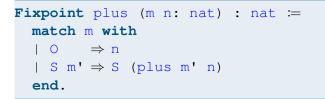
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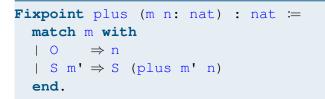
Above was really a short notation for the following:

```
Definition plus : nat → nat → nat :=
  fix f (m n: nat) :=
    match m with
    | 0 ⇒ n
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    end.
```

Coq Programming (Recursion must be structural)

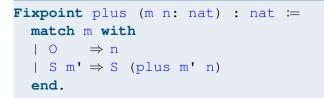


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Coq Programming (Recursion must be structural)



- Recursive functions in Coq always terminate because only structural recursion is allowed
- Structural recursion means that recursion is only applied to sub-structures
- ► Here: m' is a sub-structure of S m'
- Why this restriction? Remember: Proofs are programs, and non-terminating proofs must be avoided! (more later)

### Coq Programming (Prelude and Notation)

- Standard data types, functions, notation are pre-defined via the Prelude<sup>1</sup>
- This allows us to write a term like 3 + 2 instead of plus S(S(S O)) S(S O).
- ▶ We use the nice notation from now on wherever possible

<sup>&</sup>lt;sup>1</sup>https://coq.inria.fr/library/Coq.Init.Prelude.html

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- We say that list is a type constructor (a function that constructs a type)
- Applying this type constructor yields

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list nat: Type
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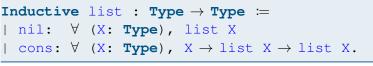
 $\dots$  the parameter X can be "multiplied-out" to  $\dots$ 

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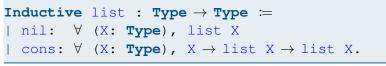
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- ▶ list: Type → Type
- ▶ nil: ∀(X: **Type**), list X
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- The definitions are isomorphic (modulo technicalities), but the parameterized definition emphasises that the structure of list terms is independ. of the choice of the "type of content" x

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Inductive list (X: Type) : Type := | nil: list X 
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► Recall that this introduces the judgements nil: ∀(X: Type), list X cons: ∀(X: Type), X → list X → list X

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- 42 is a nat, so X must be instantiated by nat. Can we let Coq infer this and simply write cons 42 nil: list nat instead?
- Yes! (See next slide)

```
Recall our example term:
```

cons nat 42 (nil nat) : list nat

<sup>&</sup>lt;sup>2</sup>use Require Import Coq.Lists.List.

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- This allows to write the example term as: cons 42 nil : list nat

But we lack the context to infer nil : list nat

There is further notation<sup>2</sup>: 42::nil : list nat <sup>2</sup>use Require Import Coq.Lists.List.

- Why do we have to bother about explicit parameters in expressions?
- In standard programming languages, parameters in terms can be simply deduced by the type checker and are therefore implicit, i.e. they are omitted in terms
- Here however, parameters are more subtle and cannot always be deduced
- Take-away: If we are using the usual programming constructs, we just use the options

Set Implicit Arguments.
Set Contextual Implicit.

and don't have to care about the majority of parameters!

# Propositions as Types

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- ▶ We need to establish the following mechanisms:
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- The idea of using propositions as types is also called Curry-Howard-Correspondence

# The Idea. 2

#### Spoilers:

Implication  $P \rightarrow Q$ Conjunction  $P \wedge Q$ Disjunction  $P \lor Q$ Top ⊤ Bottom |

- $\tilde{=}$  Funct. type P  $\rightarrow Q$
- $\tilde{=}$  Product type P  $\times$  Q
- $\tilde{=}$  Sum type P + Q
- $\tilde{=}$  Unit type 1
- $\tilde{=}$  Empty type 0
- Univ. Qu.  $\forall (x:A), P(x) \cong \Pi$ -types Exis. Qu.  $\exists (x:A), P(x) \quad \tilde{=} \Sigma$ -types
- Modus Ponens: From  $P \to Q$  and P one de- f:  $P \to Q$  and p: P duces Q
- $\tilde{=}$  Function application: gives f p: Q
- There is a proof tree for  $P \stackrel{\sim}{=}$  There is a term t such that t: P

# Top and Bottom

- Let's define the proposition  $\top$  ("truth")
- $\blacktriangleright$  There should be a proof for  $\top$

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I : True.

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• There should be **no** proof for  $\perp$ 

**Inductive** False : **Prop** := .

 In Coq, there is a special type universe for propositions, called Prop (more about universes later)

▶ From now on, we write  $\top$  for True and  $\bot$  for False

We now come to our first connective, conjunction

```
Inductive and (A B: Prop) : Prop := conj : A \rightarrow B \rightarrow and A B.
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```
Example: Prove \top \land \top
```

```
Definition t_and_t: T ∧ T ≔ conj I I.
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Inductive or (A B: Prop) : Prop :=
| \text{ or_introl } : A \rightarrow \text{ or } A B
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- Example: Prove  $\bot \lor \top$

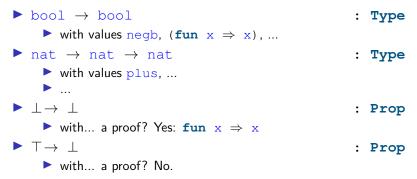
```
Definition f_or_t: ⊥ ∨ ⊤ ≔
or_intror I.
```

# Types, so far

- Let's recall what we know about types in Coq so far
- First, how are types formed? We saw 3 possibilities:
- 1a) Inductive types (atomic)
   bool : Type
   with value true, false
   L : Prop
   no proofs
  1b) Inductive types (applied type constructors)
   list nat : Type
   with value 1::2::3::nil, ...
  - ► T∧T : Prop
    ► with proof conj I I

Types, so far

#### 2) Function Types



Types, so far

3) Polymorphic Types ► ∀ (X: **Type**), list X : Type with one value: nil ▶  $\forall$  (X: **Type**), X  $\rightarrow$  list X : Type • with value fun (X: Type) (x: X)  $\Rightarrow$  x::x::x::nil ► ... ▶  $\forall$  (A B: **Prop**), A  $\rightarrow$  B  $\rightarrow$  A  $\land$  B : Prop with proof conj  $\blacktriangleright$   $\forall$  (P: **Prop**),  $\top \lor P$ : Prop with... a proof? Yes: fun (\_: Prop) ⇒ or\_introl I

- Polymorphic types are function types that take types as arguments
- Polymorphic values are functions that take types as arguments

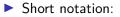
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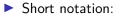
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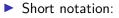


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This proof term can equivalently be obtained via tactics

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Lemma t_and_t: T A T.
Proof.
apply conj.
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Tactics generate proof terms

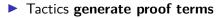
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Display proof term via Print t\_and\_t.

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- The goal (proof obligation) is simplified/divided/reduced to smaller subgoals
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- If the value constructor expects further arguments, further subgoals are generated
- $\blacktriangleright$  This is the case in our example: We have two subgoals  $\top$  and  $\top$

#### Tactic: **exact**

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exact (conj I I).
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By exact, one can give an explicit proof term

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Proof.
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- By exact, one can give an explicit proof term
- In this example, we give the whole proof term just by a single exact, which is equivalent to the two other definitions of t\_and\_t

#### Tactic: intros

```
Lemma p_q_p: \forall (P Q: Prop), P \rightarrow Q \rightarrow P.

Proof.

intros P Q p q.

apply p.

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```
► Correspondence to proof terms:
intros x y. introduces fun x y ⇒ ...
```

# Tactic: **destruct** (1)

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Lemma pq_p: ∀ (P Q: Prop), P ∧ Q → P.
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destruct H as [p q].
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# Tactics: destruct (2), left, right

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Lemma pq_or_qp:
	∀ (P Q: Prop), P ∨ Q → Q ∨ P.
Proof.
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- By destruct, a hypothesis is case-analyzed
- For each case, there is a subgoal
- ► Correspondence to proof terms: Introduces match ... with | ... | ... ⇒ ...
- By left (right), the first (second) constructor is selected
- Correspondence to proof terms: Introduces or\_introl resp. or\_intror

### Tactic: **split**

```
Lemma and_comm:

∀ (P Q: Prop), P ∧ Q → Q ∧ P.

Proof.

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- By split, a goal is split into subgoals
- For each case, there is a subgoal
- Correspondence to proof terms: Introduces conj .....

```
Lemma false_proves_anything:
 ∀ (P: Prop), ⊥ → P.
Proof.
 intros P f.
 exfalso.
 exact f.
Qed.
```

**• exfalso** replaces the current goal by  $\perp$ 

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∀ (P: Prop), ⊥ → P.
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- Crucial part of the corresponding proof term: match f with (nothing here) end

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Qed.
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- The answer is "as an inductive type" but the details are not relevant at this point

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- Example: Prove that for all propositions P, we have  $P \rightarrow \sim (\sim P)$ .

```
Lemma not_not:
  ∀ (P: Prop), P → ~(~P).
Proof.
  intros P p.
  intros H.
  apply H.
  exact p.
Qed.
```

# Types that Depend on Terms

▶ Recall polymorphic types, i.e. types that depend on types
 3) Polymorphic Types
 ▶ ∀ (X: Type), list X : Type
 ▶ with value nil
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▶ Types can also depend on terms

#### 4) Dependent Types

▶ $\forall$ (b: bool),	negb (negb b) = b	: Prop
▶ ∀ (n: nat),	0 + n = n	: Prop
▶ ∀ (n: nat),	n + 0 = n	: Prop
$\blacktriangleright$ $\forall$ (m n: nat),	m + n = n + m	: Prop

# Types that Depend on Terms

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- ∀ (b: bool), negb (negb b) = b : Prop
   ∀ (n: nat), 0 + n = n : Prop
- $\blacktriangleright \forall (n: nat), n + 0 = n : Prop$
- $\blacktriangleright \forall (m n: nat), m + n = n + m : Prop$

Roadmap: We prove all of the above properties!

# Type Universes

The type of a type is called a type universe: Either Type or Prop<sup>3</sup>

bool	:	Туре	Т	:	Prop
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- But there are only two possible values for b. So let's do a case analysis and prove every case!

b is true. Then negb (negb true) reduces to true.
 b is false. Then negb (negb false) reduces to false.

We have all the tools to prove this in Coq

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```
Lemma negb_inverse:
 ∀ (b: bool), negb (negb b) = b.
Proof.
 intros b.
 destruct b.
 - simpl. reflexivity.
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Oed.
```

#### Back to Natural Numbers

```
Fixpoint plus (m n: nat) : nat ≔
match m with
  | 0 ⇒ n
  | S m' ⇒ S (plus m' n)
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• We can easily prove that 0 + n = n for all n

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Lemma 0_plus_n: ∀ (n: nat), 0+n = n.
Proof.
intros n.
simpl.
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Qed.
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- **Base case.** To show: 0 + 0 = 0. By definition.
- Inductive case. Let IH be m + 0 = m. To show:
  (S m) + 0 = S m. But this is by def. of plus convertible to
  S(m + 0) = S m. Now use IH, so we have to show
  S m = S m which holds by reflexivity of =.

Let's do the same proof, but in Coq

```
► Let's do the same proof, but in Coq
Lemma n_plus_0: ∀ (n: nat), n + 0 = n.
Proof.
intros n.
induction n.
- simpl. reflexivity.
- simpl. rewrite IHn. reflexivity.
Qed.
```

induction n does a case-analysis on n (like destruct), but provides an additional inductive hypothesis

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- induction n does a case-analysis on n (like destruct), but provides an additional inductive hypothesis
- rewrite IHn uses the equation IHn to substitute a subterm in the current goal

Remember the very first property we wanted to show? m + n = n + m for all m, n

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  m + n = n + m for all m. n
- Proof by induction over m.
  - Base case. To show: 0 + n = n + 0. By our last lemma, we know n + 0 = n; by definition of plus we know 0 + n = n; thus we are done.
  - ▶ Inductive case. Let IH be m + n = n + m. To show: (S m) + n = n + (S m). This goal reduces to S(m + n) = n + (S m). By the IH, we can reduce the goal to S(n + m) = n + (S m). Here we have the same problem as with proving n + 0 = n: It does not hold by definition, because plus pattern-matches on the *first* argument. Thus we prove this as an extra lemma, after which the proof is completed.

Let's prove the little lemma m + (S n) = S (m + n) for all m, n ... by induction on m

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Lemma m_plus_S:
  ∀ (m n: nat), m+(S n) = S (m+n).
Proof.
  intros m n.
  induction m.
  - simpl. reflexivity.
  - simpl. rewrite IHm. reflexivity.
Qed.
```

Now we can prove commutativity of addition in Coq, following the proof sketch given before

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```
Lemma plus_comm:

∀ (m n: nat), m+n = n+m.

Proof.

intros m.

induction m.

- intros. rewrite m_plus_0.

simpl. reflexivity.

- intros n. simpl. rewrite IHm.

rewrite m_plus_S. reflexivity.

Qed.
```

We know the principle of natural induction from maths

<sup>&</sup>lt;sup>4</sup>For further reading: "x is-a-substructure-of y" is well-founded

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- Because our objects (here: natural numbers) are constructed out of **finitely** many steps<sup>4</sup>. We can view a proof of induction as a recipe on how to obtain a proof for **any concrete** number n.

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- Example: How do we prove 3 + 0 = 3?
  - Use Inductive Case, but need to prove 2 + 0 = 2. How?
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- Doesn't this proof construction look a lot like... a recursive program?

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- Every Inductive type has this essential property that each object is constructed out of finitely many steps
- There is an induction principle for every type, and it is automatically generated<sup>5</sup>

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  - This recipe is a recursive function!
- Tactics assist the user in finding a proof term

#### Literature

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#### [1] G. Smolka, Lecture Notes of Introduction to Computational Logic https://www.ps.uni-saarland.de/courses.html